



PATENT

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Herbert R. Radisch, Jr.	)
Serial No.:	10/683,893	) Art Unit
Filed:	October 10, 2003	) 3731
For:	APPARATUS FOR SUPPORTING A SEGMENTED BLADE ON A BALLOON CATHETER	)
Examiner:	Unknown	)
Attorney Docket:	10745.137.1	)

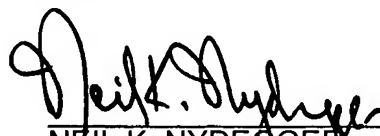
### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits whichever occurs last. 37 CFR 1.97(b).

The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 14-1519. A duplicate of this request is enclosed.

Respectfully submitted this 17<sup>th</sup> day of February, 2004.

  
NEIL K. NYDEGGER  
Attorney for Applicant  
Registration No. 30,202

Transmitted: Information Disclosure Statement; PTO Form 1449;  
and 1 reference.



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INFORMATION DISCLOSURE STATEMENT

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The following sections are being submitted for this Information Disclosure Statement:

1.   X   Preliminary Statements
2.   X   FORM PTO - 1449 (Modified)
3.   X   Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
4.   X   Copies of Listed Information Items Accompanying This Statement.
5.        Concise Explanation of Non-English Language Listed Information Items.
6.        Translation(s) of Non-English Language Documents.
7.        Certification Under 37 C.F.R. §1.97.(e).
8.        Fee as required under 37 C.F.R §1.17(p).
9.        Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i)(1).
10.   X   Identification of Person(s) Making This Information Disclosure Statement.

## **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

FORM PTO-1449 (Modified)  
U.S. Dept of Commerce  
Patent and Trademark Office

List Submitted in Accordance  
with 37 CFR § 1.98 (a)(1)

Attorney Docket: 10745.137.1  
Serial No.: 10.683,893  
Applicant: Herbert R. Radisch, Jr.  
Filing Date: October 10, 2003  
Group No.: 3731

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U.S. Patent Documents

<u>Examiner Initial*</u>		<u>Patent Number</u>	<u>Issue Date</u>	<u>Patentee</u>
_____	AA	4,402,307	09/06/83	Hanson

Foreign Patent Documents

		<u>Document Number</u>	<u>Pub. Date</u>	<u>Country</u>	<u>Translation</u>
_____	A				

Other Documents

(including Author, Title, Pertinent Pages, date and place of publication)

\_\_\_\_\_ A

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Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

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\* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**Section 3. Identification Of Prior Application In Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted.**

This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. 09/938,010 filed on August 23, 2002 which issued on October 14, 2003 as patent number 6,632,231 B2. The following references were submitted to and/or cited by the Office in this prior application and, therefore, are not required to be provided in this application.

<u>Patent No.</u>	<u>Issue Date</u>	<u>Name</u>	<u>Country</u>
2,730,101	01/10/56	Hoffman	USA
3,512,519	05/19/70	Hall	USA
3,605,721	09/20/71	Hallac	USA
4,273,128	06/16/81	Lary	USA
4,669,469	06/02/87	Gifford	USA
4,966,604	10/30/90	Reiss	USA
5,196,024	03/23/93	Barath	USA
5,797,935	08/25/98	Barath	USA

**Section 4. Copies of Listed Information Items Accompanying This Information Disclosure Statement.**

Legible copies of **all** items listed below accompany this Information Disclosure Statement.

<u>Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Country</u>
4,402,307	09/06/83	Hanson	USA

**Section 10. Identification of Person(s) Making this Information Disclosure Statement.**

The person making this statement is

(a) \_\_\_\_\_ the inventor(s) who signs below

\_\_\_\_\_  
SIGNATURE OF INVENTOR

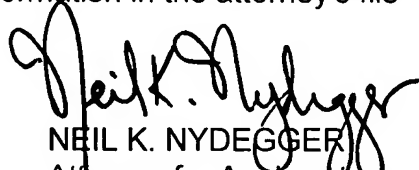
\_\_\_\_\_  
Name of Inventor

(b)   X   the attorney who signs below on the basis of:

  X   the information supplied by the inventor(s)

\_\_\_\_\_ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))

  X   the information in the attorney's file

  
NEIL K. NYDEGGER  
Attorney for Applicant  
Registration No. 30,202

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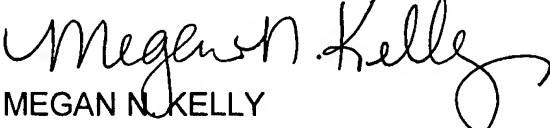
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		)
Attorney Docket:	10745.137.1	)

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this 17<sup>th</sup> day of February, 2004.

  
MEGAN N. KELLY  
Legal Document Assistant